

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/085,203
Filing Date	February 27, 2002
First Named Inventor	Joseph A. Kwak
Art Unit	2662
Examiner Name	Saba Tsegaye
Attorney Docket Number	I-2-0203.3US

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Form PTO-1449 w/ copies of two (2) Georgian Office Actions and seven (7) references	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey M. Glabicki	Reg. No. 42,584
Signature		
Date	August 24, 2005	

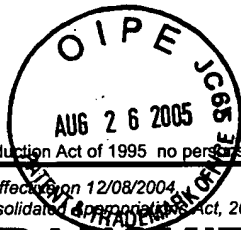
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Jeffrey M. Glabicki		
Signature		Date	August 24, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/17 (12-04)

Approved for use through 07/31/2006.

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Effective 12/08/2004

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	10/085,203
Filing Date	February 27, 2002
First Named Inventor	Joseph A. Kwak
Examiner Name	Saba Tsegaye
Art Unit	2662
Attorney Docket No.	I-2-0203.3US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 09-0435 Deposit Account Name: InterDigital Communications Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
-	=	x	= 0.00			
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
-	=	x	= 0.00			
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	0.00

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Supplemental Information Disclosure Statement

Fees Paid (\$)

180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 42,584	Telephone 215-568-6400
Name (Print/Type)	Jeffrey M. Glabicki		Date August 24, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Joseph A. Kwak

Application No.: 10/085,203

Confirmation No.: 1835

Filed: February 27, 2002

For: IMPLEMENTING A PHYSICAL LAYER
AUTOMATIC REPEAT REQUEST FOR A
SUBSCRIBER UNIT

Group: 2662

Examiner: Saba Tsegaye

Our File: I-2-0203.3US

Date: August 24, 2005

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Newly cited documents are indicated by an asterisk (*) and a double asterisk (**). Copies of the newly cited documents are enclosed.

Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included. Copies of previously cited documents are also not included.

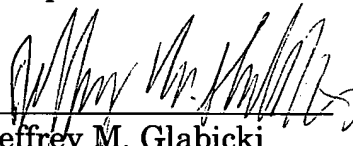
Applicant: Joseph A. Kwak
Application No.: 10/085,203

Each item of information, indicated by an asterisk (*), contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, copy enclosed. Each new item of information indicated by a double asterisk (**) was cited in a communication from a counterpart U.S. patent application.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Joseph A. Kwak

By 
Jeffrey M. Glabicki
Registration No. 42,584
(215) 568-6400

Volpe and Koenig, P.C.
United Plaza, Suite 1600
30 South 17th Street
Philadelphia, PA 19103

JMG/ks
Enclosures (10)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY. DOCKET NO. I-2-0203.3US		SERIAL NO. 10/085,203	
		APPLICANT Joseph A. Kwak			
		FILING DATE February 27, 2002		GROUP 2662	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,912,705	03/1990	Paneth et al.			
		5,101,406	03/1992	Messenger			
		5,280,498	01/1994	Tymes et al.			
		5,345,439	09/1994	Marston			
		5,345,600	09/1994	Davidson			
		5,351,016	09/1994	Dent			
		5,544,196	08/1996	Tiedemann, Jr. et al.			
		5,570,369	10/1996	Jokinen			
		5,648,969	07/1997	Pasternak et al.			
		5,657,325	08/1997	Lou et al.			
		5,729,557	03/1998	Gardner et al.			
		5,828,677	10/1998	Sayeed et al.			
		5,838,267	11/1998	Wang et al.			
		5,946,320	08/1999	Decker			
		5,956,624	09/1999	Hunsinger et al.			
		5,982,760	11/1999	Chen			
		5,983,382	11/1999	Pauls			
		5,983,383	11/1999	Wolf			
		5,983,384	11/1999	Ross			
		6,021,124	02/2000	Haartsen			
		6,049,549	04/2000	Ganz et al.			
		6,064,692	05/2000	Chow			
		6,128,276	10/2000	Agee			
		6,130,918	10/2000	Humphrey et al			
		6,134,694	10/2000	Uebayashi et al.			
		6,138,260	10/2000	Ketseoglou			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY. DOCKET NO. I-2-0203.3US		SERIAL NO. 10/085,203	
		APPLICANT Joseph A. Kwak			
		FILING DATE February 27, 2002		GROUP 2662	
U.S. PATENT DOCUMENTS					
		6,145,108	11/2000	Ketseoglou	
		6,154,489	11/2000	Kleider et al.	
		6,189,123	02/2001	Anders Nystrom et al.	
		6,208,663	03/2001	Schramm et al.	
		6,212,240	04/2001	Scheibel, Jr. et al.	
		6,212,659	04/2001	Zehavi	
		6,233,711	05/2001	Murayama et al.	
		6,272,183	08/2001	Berens et al.	
		6,275,488	08/2001	Cudak et al.	
		6,289,003	09/2001	Raitola et al.	
		6,308,294	10/2001	Ghosh et al.	
		6,317,418	11/2001	Raitola et al.	
		6,359,877	03/2002	Rathonyi et al.	
		6,366,601	04/2002	Ghosh et al.	
		6,370,669	04/2002	Eroz et al.	
		6,449,246	09/2002	Barton et al.	
		6,522,650	02/2003	Yonge et al.	
		6,529,561	03/2003	Sipola	
		6,647,076	11/2003	Schenk et al.	
	**	6,735,180	05/2004	Malkamaki et al.	
	**	6,760,860	07/2004	Fong et al.	
		2002/0037058	03/2002	Birru	
		2002/0064167	05/2002	Khan et al.	
		2003/0039226	02/2003	Kwak	
EXAMINER			DATE CONSIDERED		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449				ATTY. DOCKET NO. I-2-0203.3US		SERIAL NO. 10/085,203	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Joseph A. Kwak			
				FILING DATE February 27, 2002		GROUP 2662	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	*	97118086	07/1999	RU			X
	*	2179370	02/2002	RU			X
	*	2195768	12/2002	RU			X
	*	2001126714	07/2003	RU			X
	*	2221338	01/2004	RU			X
	*	2221339	01/2004	RU			X
	*	2235432	08/2004	RU			X
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		"Hybrid ARQ Methods for FDD in Release 2000," TSG-RAN Working Group 1 Meeting #14, Oulu, Finland, July 4-7, 2000					
		"Physical Layer Aspects of UTRA High Speed Downlink Packet Access," 3GPP TR 25.848 V4.0.0 (2001-03), pp. 1-89.					

EXAMINER	DATE CONSIDERED
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